

e-learning and reference solutions for the global finance professional

# Financial Privacy

Library of 6 courses covering financial privacy regulations in the US

After completing this course, you will be conversant with:

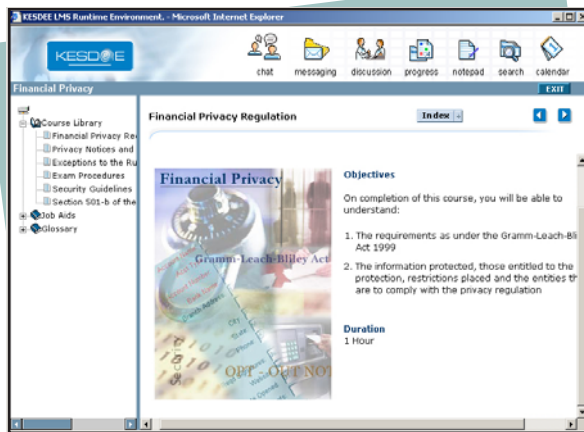
- Financial privacy regulation in the United States
- Persons/institutions required to comply, persons protected and the type of information protected under the regulation
- Restrictions on sharing of information
- Privacy notices
- Exceptions to the privacy notice requirements
- Privacy examination procedures
- Security guidelines
- Managerial responsibilities vis-à-vis requirements of privacy regulation



# FINANCIAL PRIVACY

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## Overview



The Gramm-Leach-Bliley Act requires financial institutions such as banks & savings associations, credit unions, mortgage & trust companies, insurance companies, collection agencies and others to disclose privacy policies, limit instances in which consumer information can be shared with non-affiliated third parties and provide consumers an opportunity to protect some of their personal financial information from being shared. The Federal Financial Institutions Examination Council (FFIEC) has introduced a privacy regulation implementing the Gramm-Leach-Bliley Act which imposes certain requirements on its member institutions. This product covers various technicalities of privacy regulation giving relevant and convincing examples.

### Course Level & Number of Courses

Intermediate Level  
Library of 6 Courses

### Instructional Method

Dynamic, Interactive e-learning

### Recommended Background

Familiarity with basic financial concepts

### The themes of this product are:

- Requirements under the Privacy Regulation
- Exceptions to the Requirements
- Privacy Policies and Measures such as Examination Procedures and Security Guidelines

For more information, please visit:

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# FINANCIAL PRIVACY

## Library of 6 Courses

**Time taken to complete each Course: Two - Three hours**

### **1. Financial Privacy Regulation**

- Before You Begin...
- Gramm Leach Bliley Act
- Who must Comply?
- Who is Protected?
- What Information is Protected?
- Restrictions on Information Sharing

### **2. Privacy Notices and their Content**

- Before You Begin...
- Introduction
- The Three Notices
- Content of Notice
- Opt-out Notices
- Modes of Delivery of Notices

### **3. Exceptions and Reuse of Information**

- Before You Begin...
- Introduction
- Joint Agreements
- Processing and Servicing
- Other Exceptions
- Reuse of Information

# FINANCIAL PRIVACY

## Library of 6 Courses

### 4. Exam Procedures

- Before You Begin...
- Introduction
- Examination Process
- Module 1
- Modules 2 and 3
- Modules 4 and 5
- Module 6

### 5. Security Guidelines

- Before You Begin...
- Introduction
- Purpose of the Guidelines
- Applicability and Objectives
- Responsibilities of the Board
- Management Responsibilities

### 6. Section 501(b) of the GLB Act and the Management

- Before You Begin...
- Introduction
- Risk Control Practices
- Training Programs
- Monitoring Information Security
- Reporting Requirements
- Outsourcing

## JOB AIDS

Privacy Acts Regulations Policies

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7. Basel II-University	Library of 63 Courses
8. Sarbanes-Oxley Act	Library of 12 courses
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13. Market Risk (Intermediate Level)	Library of 8 Courses
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